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PTO/SB/21 (08-03) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE 3 -/ 6 the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/966,703 TRANSMITTAL Filing Date 09/28/01 **FORM** First Named Inventor MAR 0 9 2004 Dale Malik Art Unit (to be used for all correspondence after initial filing) Technology Center 210b **Examiner Name** Attorney Docket Number 0201-99159 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication to Group Drawing(s) Fee Transmittal Form Appeal Communication to Board Licensing-related Papers of Appeals and Interferences Fee Attached Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information **Provisional Application** After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): **Extension of Time Request** Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Copies of cited patents Document(s) TO TONES Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Nora M. Tocups, Esq. Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Nora M. Tocups, Esq. Date Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Dale W. Malik et al.)	
Serial No.:	09/966,703) Art Unit:	
Filed:	September 28, 2001) Examiner:	
For:	Methods and Systems for a Communications and Information))	RECEIVED
	Resource Manager)	MAR 0 9 2004
			Technology Center 2100

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir or Madam:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants identify the documents listed on the accompanying form PTO/SB/08A. Copies of the publications listed are enclosed for your review.

Remarks

Applicants do not concede that the identified materials constitute prior art within the meaning of the United States patent laws.

CERTIFICATE OF MAILING

I hereby certify that this document, together with any document referred to as enclosed or attached, is being deposited with the United States Patent and Trademark Office via First Class Mailto Commissioner for Patents, P.O. Box 1450, Alexandria, VA22313-1450, on 2004.

Information Disclosure Statement Patent Application No.: 09/966,703

This Information Disclosure Statement is being filed before the mailing of the first Office Action on the merits (37 C.F.R. 1.97(b)(3)); therefore, no fee is believed to be required.

Respectfully submitted,

nora M. Tocup

Nora M. Tocups Reg. No. 35,717

Attorney for the Assignee

Law Office of Nora M. Tocups Post Office Box 698 Decatur, Georgia 30031 404-372-1430 Attorney Docket No. 0201-99159

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Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Compl te if Known			
Application Number	09/966,703		
Filing Date	09/28/01		
First Named Inventor	Dale Malik		
Art Unit			
Examiner Name		_	
Attorney Docket Number	0201-99159		

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	US- 5,818,447	10/06/1998	Wolf et al.	
	2	^{US-} 6,167,119	12/26/2000	Bartholomew et al.	
	3	^{US-} 6,330,079	12/11/2001	Dugan et al.	
	4	^{US-} 6,360,254	03/19/2002	Linden et al.	RECEIVED
	5	^{US-} 5,570,417	10/29/1996	Byers	
	6	^{US-} 6,320,947	11/20/2001	Joyce et al.	MAR 0 9 2004
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FOREIGN PATENT DOCUMENTS						
Examiner Cite Initials* No.	Cite	Foreign Patent Document Publicatio Date	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	Τ
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Examiner	Date	
Signature	Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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